

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Leonard D. Rarick

Serial No. 10/601,376

Filed: June 23, 2003

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Signature

Group Art Unit: 2124

Examiner: Unknown

Atty. Dkt. No. 5681-64600

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date indicated below:

B. Noël Kivlin

Registered Representative

Signature

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Applicant requests consideration of the references listed on the attached Form PTO-1449 and/or the additional information identified below in paragraph 3. A copy of each reference listed on the Form PTO-1449 is enclosed. The references listed on the Form PTO-1449 were previously cited in application to which the captioned application is seeking priority serial no. under 35 U.S.C. §120; therefore, a copy of each reference is not enclosed. This Information Disclosure Statement is submitted: 1. within 3 months of the filing date of a national application other than a a. continued prosecution application under § 1.53(d); within 3 months of the date of entry of the national stage as set forth in § 1.491 in an International application; before the mailing date of a first Office Action on the merits; or before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.

| | b. | after the events of above paragraph 1a and prior to the mailing date of a final Office Action or Notice of Allowance, and thus: the certification of paragraph 2 below is provided, or a fee of \$180.00 is enclosed. | | | | | |
|----|---------|--|--|--|--|--|--|
| | c. | after the mailing date of a final Office Action or a Notice of Allowance and prior to payment of the issue fee, and thus: the certification of paragraph 2 below is provided and a fee of \$180.00 is enclosed. | | | | | |
| 2. | It is h | It is hereby certified: | | | | | |
| | | that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or | | | | | |
| | | that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in § 1.56 (c) more than three months prior to the filing of the Statement. | | | | | |
| 3. | | Consideration of the following additional information (including any co-pending or abandoned U.S. applications, prior uses and/or sales, etc.) is requested: | | | | | |
| 4. | For e | ach non-English language reference listed on the attached Form PTO-1449: | | | | | |
| | | reference is made to an English language translation submitted herewith, and/or | | | | | |
| | | reference is made to a foreign patent office search report (in the English language) submitted herewith, and/or | | | | | |
| | | reference is made to an English language translation of a foreign patent office search report submitted herewith, and/or | | | | | |
| | | reference is made to the concise explanation contained in the specification of the present application at page(s), and/or | | | | | |
| | | reference is made to the concise explanation set forth below: | | | | | |
| 5. | | Applicant also offers the following comments for the Examiner's consideration: | | | | | |
| 6. | | Also enclosed is a copy of a foreign search report citing these references. | | | | | |
| 7. | | The listed documents were brought to the attention of the Applicant(s) after payment of the issue fee in the captioned case. The documents were cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure | | | | | |

Applicant(s) request this Information Disclosure Statement and Statement. attached Form PTO-1449 be placed in the file of the captioned application.

Applicant(s) requests that the Information Disclosure Statement and attached 8. Form PTO-1449 and references, which are being filed before the grant of the patent and pursuant to 37 C.F.R. § 1.97(i), be placed in the file of the captioned application.

If any required fees are missing, the Commissioner is authorized to charge said fees to Meyertons, Hood, Kivlin, Kowert & Goetzel, P.C. Deposit Account No. 501505/5681-64600/BNK.

Respectfully submitted,

B. Noël Kivlin Reg. No. 33,929

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Date: 10-8.03

Form PTO-1449 (modified)
List of Patents and Publications
For Applicant's Information
Disclosure Statement
(Use several sheets if necessary)

EXAM. REF. DOCUMENT NUMBER

ATTY. DKT. NO. 5681-64600

SERIAL NO. 10/601,376

APPLICANT: Leonard D. Rarick

GROUP: 2124

FILING DATE: June 23, 2003 U.S. PATENT DOCUMENTS FILING DATE **CLASS** SUB DATE **NAME** DOCUMENT NUMBER APPROPRIAT **CLASS** DES. **INITIALS** Petro et al. 07/31/2001 6,269,387 A1 Petrol et al. 04/24/2001 A2 6,223,199 04/17/2001 Petro et al. 6,219,687 **A3** Rarick 04/02/1996 5,504,915 A4 Rarick 03/05/1996 **A5** 5,497,343 06/16/1992 Cohn 5,122,982 **A6** FOREIGN PATENT DOCUMENTS TRANSLATIO CLASS SUB DOCUMENT NUMBER **COUNTRY** DATE EXAM. REF. YES/NO **CLASS INITIALS** DES. OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.) M. Morris Mano: Computer System Architecture; Prentice-Hall 1976, pages 243-249 **A**7

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DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.